

MAY 11 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jaime Vargas, et. al.

Assignee: Cardica, Inc.

Title: Method for Cutting Tissue

Serial No.: 10/698,575

Filing Date: October 31, 2003

Examiner: Truong, Kevin T.

Group Art Unit: 3734

Docket No.: 146

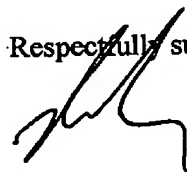
## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

Respectfully submitted,

Brian A. Schar  
Reg. No. 45,076  
Attorney for Cardica, Inc.  
900 Saginaw Drive  
Redwood City, CA 9406305/12/2006 TL0111 00000056 502108 10698575  
01 FC:1006 100.00 DA

PTO/BB/06a(07-05)  
 Approved for use through 7/31/2006. OMB 0651-0031  
 US Patent & Trademark Office, U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1448A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	10/698,575
				Filing Date	October 31, 2003
				First Named Inventor	Vargas, Jaime
				Art Unit	3734
				Examiner Name	Truong, Kevin T.
Sheet	1	of	1	Attorney Docket No: 146	

US PATENT DOCUMENTS					
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
		US-3995619	12/07/1976	Glatzer, Stephen G.	
		US-5192294	03/09/1993	Blake III	
		US-6179848	01/30/2001	Solem, Jan O.	
		US-6966917	11/22/2005	Suyker, Wilhelmus J., et al.	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)  
 \* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached